



PATENT
Docket No. 506512002000

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Victoria Wilson

Victoria Wilson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

George D. HERMANN et al.

Serial No.: 10/664,273

Filing Date: September 16, 2003

For: SURGICAL CLAMP INSERTS WITH
HOOKED TRACTION ELEMENTS

Examiner: Not Yet Assigned

Group Art Unit: 3731

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

sf-1641251

Serial No. 10/664,273
Docket No. 506512002000

This Information Disclosure Statement is submitted:

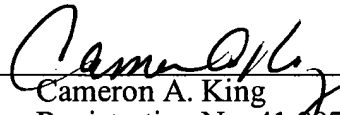
- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of ___ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of ___ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

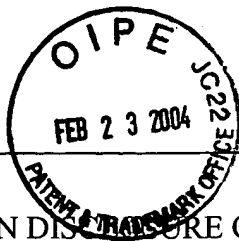
In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **506512002000**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: February 18, 2004

Respectfully submitted,

By: 
Cameron A. King
Registration No. 41,897

Morrison & Foerster LLP
425 Market Street
San Francisco, California 94105-2482
Telephone: (415) 268-6524
Facsimile: (415) 268-7522



Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

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Applicant

George D. HERMANN et al.

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Group Art Unit 3731

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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	9/13/1955	2,717,437	G. De Mestral			
	2.	11/21/1961	3,009,235	G. De Mestral			
	3.	7/6/1965	3,192,589	R. C. Pearson			
	4.	11/5/1968	3,408,705	Kayser et al.			
	5.	5/5/1970	3,509,882	Blake			
	6.	7/27/1971	3,594,863	Erb			
	7.	7/27/1971	3,594,865	Erb			
	8.	9/22/1981	4,290,174	Kalleberg			
	9.	12/27/1988	4,794,028	Fischer			
	10.	4/18/1989	4,821,719	Fogarty			
	11.	7/11/1989	4,846,815	Scripps			
	12.	6/5/1990	4,931,058	Cooper			
	13.	10/16/1990	4,963,140	Robertson et al.			
	14.	1/15/1991	4,984,339	Provost et al.			
	15.	5/28/1991	5,019,065	Scripps			
	16.	11/9/1993	5,260,015	Kennedy et al.			
	17.	5/31/1994	5,315,740	Provost			
	18.	8/23/1994	5,339,499	Kennedy et al.			
	19.	9/9/1997	5,664,301	Akeno			
	20.	10/21/1997	5,679,302	Miller et al.			
	21.	6/7/1994	5,318,555	Siebers et al.			
	22.	5/21/1996	5,518,795	Kennedy et al.			
	23.	8/5/1997	5,653,720	Johnson et al.			
	24.	3/17/1998	5,728,121	Bimbo et al.			
	25.	12/8/1998	5,845,375	Miller et al.			
	26.	8/8/2000	6,099,539	Howell et al.			
	27.	2/13/2001	6,187,247 B1	Buzzell et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



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	28.	3/27/2001	6,206,896 B1	Howell et al.			
	29.	5/8/2001	6,228,104 B1	Fogarty et al.			
	30.	7/31/2001	6,267,773 B1	Gadberry et al.			
	31.	8/14/2001	6,273,902 B1	Fogarty et al.			
	32.	5/14/2002	6,387,106 B1	Howell et al.			
	33.	5/14/2002	6,387,112 B1	Fogarty et al.			
	34.	3/4/2003	6,526,633 B2	Provost et al.			
	35.	Filed 1/22/2003	10/349,871 pending appl.	Sturtz et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO	
	36.	8/6/1998	WO 98/33437	PCT				
	37.	3/11/1999	WO 99/11179	PCT				

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

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